

Audit and Governance Committee Action Log

Date of meeting	Action	Minute ref.	Deadline	Progress
19 January 2023	Benchmarking data on Whistleblowing incidences at other Councils to be included in future reporting.	29/22	By next report	Feb 2024: Agreed to provide comparison data in future reporting. Agreed to include alternative routes of escalation (e.g. the Guardians Programme) in next whistleblowing report.
02 February 2023	Previously shared dashboard style reporting illustrating the movement of risks to be brought to Committee.	34/22	April 2024 meeting	Updated Risk Management software being launched 19/10/23. This will enable dashboard reporting to be included in next risk report (June 2024).
02 March 2023	Prioritisation of recommendations to be included in future opening the books reporting and action tracker.	40/22	June 2023 meeting	Prioritisation to be undertaken and included in the next Revenue & Capital Monitoring Improvements report.
02 March 2023	Committee to receive quarterly MTFS tracker to monitor budget variances.	41/22	Ongoing	Link to future Financial Performance Reports to be included in the Committee Action Log. 2023-24-period-9-financial-performance-report.pdf (croydon.gov.uk)
02 March 2023	Development of process to involve the Cabinet Member for Finance in resolving recurrent internal audit actions whilst ensuring visibility to the Committee.	42/22	Ongoing	Amending audit protocol to include escalation to KK for lack of responses to reports and to later be expanded to include follow ups. Once in place further escalations are being considered.

20 April 2023	Report on 15% Council Tax VFM increase to External Auditor	49/22	June 2024 meeting	<p>March 2024: The June report will include the following to demonstrate the Value for Money delivered by the 2023-24 budget:-</p> <ul style="list-style-type: none"> • Increased transparency in relation to the Council's budgeting and spending • Improved use of unit costs and benchmarking data • Linking performance to budget outcomes • Public engagement on the budget
20 April 2023	Risk Management Reporting to include risk movement and time spent as red. Ensure future control dates are not in the past.	53/22	April 2024 meeting	Updated Risk Management software launched 19/10/23. This will enable dashboard reporting to be included in next risk report (June 2024).
20 July 2023	Future Energy Recharges reporting to include monetary values.	7/22	September 2024 meeting	
19 October 2023	Risk Reporting: Next risk report to have all control measure targets updated to future dates.	28/22	April 2024 meeting	Risk owners have been reminded about this on a number of occasions but compliance is still inconsistent. This is the major priority area for 2024/25. Members are encouraged to 'call in' those risks with missing or out of date future controls for a full 'risk deep dive'.
19 October 2023	Oracle Improvement Programme: Future reporting to include user and cultural adoption issues and any licensing costs and/or risk implications.	29/22	April 2024 meeting	Added to work programme to coincide with Cabinet report.

19 October 2023	Anti-Fraud Update: The Committee requested updates on Anti-Fraud engagement with Registered Social Landlords.	30/22	April 2024 meeting	Verbal update to be given on 11 April and this is on the work plan for 2024/25.
1 February 2024	AGS 2022/23 Update: The committee requested the future Internal Audit test date to be included in the tracker for those actions marked complete.	48/24	September 2024 meeting	
1 February 2024	AGS 2022/23 Procurement and Contract Management: The improvement plans would be shared once finalised.	48/24	June/July 2024	The draft improvement plans for both Procurement and Contract management were issued at the end of March 2024. Once these have been through formal consultation and agreement with CMT, the Mayor, Cllr Cummings and the Improvement Panel, then we will release the documents to scrutiny for information. The above is likely to take 2- 3 months to complete.
14 March 2024	Core Business Systems Assurance Review: The committee requested 6 monthly reporting updates.	62/24	By next meeting	Complete. Included on 2024/25 work programme.
14 March 2024	Internal Audit Plan 24/25: The Committee requested more narrative to be included to provide justification for the areas prioritised in the plan and to provide explanation of how these linked to the key areas of assurance required by the Committee. Officers agreed to provide the Committee with narrative on the scope of each audit and to circulate the previous years' audit plan for information.	64/24	By next meeting	Complete. Narrative circulated to members 03/04/2024.
14 March 2024	Internal Audit Plan 24/25: Agreed to utilise the internal audit contingencies to include property sales to provide assurance on this area to the Committee.	64/24	By next meeting	Complete. Updated Internal Audit Plan circulated to members 03/04/24.
14 March 2024	External Audit, I.T Control Report – Annual Reporting to Committee	63/24	By next meeting	Complete. Included on 2024/25 work programme

