

# Croydon Council

<b>REPORT TO:</b>	<b>Pension Committee</b> <b>11 October 2022</b>
<b>SUBJECT:</b>	<b>Reporting Breaches of the Law</b>
<b>LEAD OFFICER:</b>	<b>Matthew Hallett, Acting Head of Pensions and Treasury</b>
<b>CORPORATE PRIORITY/POLICY CONTEXT:</b> Sound Financial Management: This report recommends that the Pension Committee agree revisions to the Fund's "Reporting Breaches of the Law Policy" and note the update of the Fund's Breaches of the Law log.	
<b>FINANCIAL SUMMARY:</b> There are no direct financial implications associated with this report but it should assist in the efficient management of the Fund which could have an impact on the General Fund of the Council.	

## **1 RECOMMENDATION**

- 1.1 The Committee are asked to agree revisions to the Fund's "Reporting Breaches of the Law Policy" and to note the Fund's Breaches of the Law log.

## **2 EXECUTIVE SUMMARY**

- 2.1 This report asks the Committee to agree the revised Reporting Breaches of the Law Policy to the Committee and attached appendices.

## **3 DETAIL**

- 3.1. The current policy was agreed by the Committee on 15 September 2020. The policy is required to be reviewed at least every three years. Following recommendations from the Governance Review around further areas for development, the Policy has now been reviewed.

3.2 The revised Policy is attached as Appendix A. Appendices B and C detail the reporting process as follows:

- Appendix B Breaches Reporting Form – to be completed by anyone wishing to report a breach.
- Appendix C Breaches Flowchart – the process of investigation.

3.3 The Committee are asked to agree the Policy and Appendices.

## **4 CONSULTATION**

4.1 Officers have consulted the Fund Governance Advisors Aon on this Policy.

## **5 FINANCIAL CONSIDERATIONS**

5.1 There are no financial considerations arising from this report.

**Approved by:** Alan Layton on behalf of Jane West, Corporate Director of Resources (Section 151 Officer)

## **6 LEGAL CONSIDERATIONS**

6.1 Burges Salmon LLP (a legal advisor appointed to the Pension Fund) note there are no direct legal implications arising from the recommendations within this report.

## **7 HUMAN RESOURCES IMPACT**

7.1 There are no direct workforce implications arising from the recommendations within this report.

**Approved by:** Gillian Bevan, Head of HR, Resources and Chief Executives on behalf of Dean Shoemith, Chief People Officer.

## **8 EQUALITIES IMPACT**

8.1 There are no equalities impacts arising from this report.

**Approved by:** Denise McCausland – Equality Programme Manager

## **9 ENVIRONMENTAL IMPACT**

9.1 There are no environmental impacts arising from this report.

## **10 CRIME AND DISORDER REDUCTION IMPACT**

10.1 There are no crime and disorder impacts arising from this report.

## **11 DATA PROTECTION IMPLICATIONS**

11.1 WILL THE SUBJECT OF THE REPORT INVOLVE THE PROCESSING OF 'PERSONAL DATA'?

NO

**Approved by:** Alan Layton on behalf of Jane West, Corporate Director of Resources (Section 151 Officer)

---

### **CONTACT OFFICER:**

Matthew Hallett, Acting Head of Pensions and Treasury

### **BACKGROUND DOCUMENTS:**

None.

### **APPENDIX:**

Appendix A: Reporting Breaches of the Law

Appendix B: Breaches Reporting Form

Appendix C: Breaches Flowchart

Appendix D: Breaches Risk Process

Appendix E: Reporting Breaches of the Law (revised)

Appendix F: Breaches Log