

Draft Audit and Governance Committee Work Programme

Report title	Report author
7 July 2022	
Audit Charter, Strategy and Annual Audit Plan	Head of Internal Audit
Recruitment and appointment of independent member for the Audit and Governance Committee	Monitoring Officer
Annual Governance Statement 2020/21 – update on Action Plan	Monitoring Officer
Grant Thornton (External Auditor)	External Auditor
Expected timeframes on closing the accounts for 2021/22 and the audits of outstanding years*	Head of Corporate Finance
Ombudsman report - Children's Services	Complaints Manager
15 September 2022	
Head of Internal Audit Annual Report	Head of Internal Audit
Report writing guidance and template	Monitoring Officer
Report in the Public Interest (Fairfield Halls) Action Plan – Progress Update	Monitoring Officer
Treasury Management Annual Review 2021/22	Head of Pensions and Treasury

Report title	Report author
Corporate Risk Register	Head of Fraud, Risk and Insurance
Draft Annual Governance Statement	Monitoring Officer
Local Government and Social Care Ombudsman Report	Complaints Manager
Ombudsman report - Adult Services (not yet received, so may be the November cttee)	Complaints Manager
Quarterly report on whistleblowing activity	Monitoring Officer
Appointment of Independent Member	Monitoring Officer
24 November 2022	
Internal Audit Quality Review (Might possibly slip to January, but would like to aim for this date)	Head of Internal Audit
Internal Audit update report	Head of Internal Audit
Treasury Management Mid-Year Review 2022/23	Head of Pensions and Treasury
Report in the Public Interest (Governance) Action Plan – Progress Update	Monitoring Officer
Anti -Fraud Update	Head of Fraud, Risk and Insurance
Financial Performance Report	Director of Finance (Deputy S151)
Ombudsman report – Children’s Services (not yet received, so may be the January cttee)	Complaints Manager

Report title	Report author
Review of the governance and assurance arrangements for significant partnerships or collaborations	Director of Policy, Programmes and Performance
19 January 2023	
Review of the MTFS January Cabinet Report	Corporate Director, Resources
Report in the Public Interest Action Plan – Progress Update	Monitoring Officer
Quarterly report on whistleblowing activity	Monitoring Officer
2 February 2023	
Internal Audit update report	Head of Internal Audit
Corporate Risk Register	Head of Fraud, Risk and Insurance
2 March 2023	
Audit Charter, Strategy and Annual Audit Plan	Head of Internal Audit
Report in the Public Interest (Fairfield Halls) Action Plan – Progress Update	Monitoring Officer
Anti Fraud Update	Head of Fraud, Risk and Insurance
Audit Committee Draft Annual Report	Chair
2023/24 Budget	Corporate Director, Resources

Report title	Report author
20 April 2023	
Internal Audit update report	Head of Internal Audit
Corporate Risk Register	Head of Fraud, Risk & Insurance
Annual and quarterly report on whistleblowing activity	Monitoring Officer
External Auditor's annual assessment	External Auditor
Report in the Public Interest (Governance) Action Plan – Progress Update	Monitoring Officer

*The committee will review the annual statement of accounts and specifically consider whether appropriate accounting policies and the CIPFA Financial Management Code have been followed, and whether there are concerns arising from the financial statements or from the audit that need to be brought to the attention of the Council. The timetable will be drafted following consideration of the 7 July 2022 report.